

**Corporate Compliance Training**

Employee Name: \_\_\_\_\_ Date: \_\_\_\_\_

**Post-Test**

1. Which of the following are elements of an effective compliance plan?
  - Designation of a compliance officer
  - Internal monitoring and auditing
  - Procedures for reporting violations of the Corporate Compliance Plan
  - All of the above are elements of an effective plan
  
2. Federal law requires companies like STIC to have a compliance plan because of its relationship to federal insurance programs such as Medicaid?
  - True
  - False
  
3. Who is responsible for Corporate Compliance?
  - All STIC Employees
  - The Corporate Compliance Officer
  - The staff on the Corporate Compliance Committee
  - None of the Above
  
4. Which of the following are benefits of a Corporate Compliance Program? (There is more than one answer)
  - Provides guidance to employees regarding appropriate behavior as it relates to fraud and abuse.
  - Improves the quality, efficiency, and consistency of services.
  - Encourages employees to engage in unethical behavior
  - Encourages employees to report potential problems.
  
5. The Corporate Compliance Officer for the agency is?
  - Lucretia Hesco
  - Sheila Loftus
  - Casey Flanagan
  - Ken Dibble

6. Which of the following are considered appropriate billing practices?

Billing for services not provided

Billing for the level of service that is medically necessary

Misrepresenting the service that was provided

Billing for non-covered services as covered items

7. If you file a corporate compliance complaint, you cannot be retaliated against. This is called the Whistleblower Law.

True

False

8. Which of the following are true statements about disciplinary action related to corporate compliance? (There is more than one answer)

Every confirmed act of non-compliance may result in corrective action or discipline.

An employee cannot be terminated because of a violation of the Corporate Compliance Plan.

Any individual that knowingly commits or is involved in any type of non-compliance will be subject to disciplinary action up to and including termination.

Any individual that knowingly commits or is involved in any type of non-compliance may be subject to legal action.

9. In some cases, STIC is required by law to make a “self-disclosure” to an oversight agency if we become aware of a violation of the Corporate Compliance Plan

True

False

10. Employees are to be trained on Corporate Compliance annually.

True

False

Acknowledgment of Receipt

By signing below, I acknowledge that I have received training on Corporate Compliance from the Southern Tier Independence Center. As part of this training, I have received access to a copy of STIC’s Corporate Compliance Plan and Code of Conduct. I also acknowledge that I understand the information from the training is in the document and agree to abide by the terms of said document.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_