

## Corporate Compliance Training Pre-test

Employee Name: \_\_\_\_\_ Date: \_\_\_\_\_

1. Which of the following are elements of an effective compliance plan?
  - Designation of a compliance officer
  - Internal monitoring and auditing
  - Procedures for reporting violations of the Corporate Compliance Plan
  - All of the above are elements of an effective plan
  
2. Federal law requires companies like STIC to have a compliance plan because of its relationship to federal insurance programs such as Medicaid?
  - True
  - False
  
3. Who is responsible for Corporate Compliance?
  - All STIC Employees
  - The Corporate Compliance Officer
  - The staff on the Corporate Compliance Committee
  - None of the Above
  
4. Which of the following are benefits of a Corporate Compliance Program? (There is more than one answer)
  - Provides guidance to employees regarding appropriate behavior as it relates to fraud and abuse.
  - Improves the quality, efficiency and consistency of services.
  - Encourages employees to engage in unethical behavior
  - Encourages employees to report potential problems.
  
5. The Corporate Compliance Officer for the agency is?
  - Lucretia Hesco
  - Sheila Loftus
  - Casey Flanagan
  - Ken Dibble

6. Which of the following are considered appropriate billing practices?
- Billing for services not provided
  - Billing for the level of service that is medically necessary
  - Misrepresenting the service that was provided
  - Billing for non-covered services as covered items
7. If you file a corporate compliance complaint, you cannot be retaliated against. This is called the Whistleblower protection Law.
- True
  - False
8. Which of the following are true statements about disciplinary action related to corporate compliance? (There is more than one answer).
- Every confirmed act of non-compliance may result in corrective action or discipline.
  - An employee cannot be terminated because of a violation of the Corporate Compliance Plan.
  - Any individual that knowingly commits or is involved in any type of non-compliance will be subject to disciplinary action up to and including termination
  - Any individual that knowingly commits or is involved in any type of non-compliance may be subject to legal action.
9. In some cases STIC is required by law to make a “self-disclosure” to an oversight agency if we become aware of a violation of the Corporate Compliance Plan
- True
  - False
10. Employees are required to be trained on Corporate Compliance annually.
- True
  - False