

Corporate Compliance Training Post-Test

Employee Name: _____ Date: _____

1. Which of the following are elements of an effective compliance plan?
 - Designation of a compliance officer
 - Internal monitoring and auditing
 - Procedures for reporting violations of the Corporate Compliance Plan
 - All of the above are elements of an effective plan

2. Federal law requires companies like STIC to have a compliance plan because of its relationship to federal insurance programs such as Medicaid?
 - True
 - False

3. Who is responsible for Corporate Compliance?
 - All STIC Employees
 - The Corporate Compliance Officer
 - The staff on the Corporate Compliance Committee
 - None of the Above

4. Which of the following are benefits of a Corporate Compliance Program? (There is more than one answer)
 - Provides guidance to employees regarding appropriate behavior as it relates to fraud and abuse.
 - Improves the quality, efficiency and consistency of services.
 - Encourages employees to engage in unethical behavior
 - Encourages employees to report potential problems.

5. The Corporate Compliance Officer for the agency is?
 - Lucretia Hesco
 - Sheila Loftus
 - Casey Flanagan
 - Ken Dibble

6. Which of the following are considered appropriate billing practices?
- Billing for services not provided
 - Billing for the level of service that is medically necessary
 - Misrepresenting the service that was provided
 - Billing for non-covered services as covered items
7. If you file a corporate compliance complaint, you cannot be retaliated against. This is called the Whistleblower Law.
- True
 - False
8. Which of the following are true statements about disciplinary action related to corporate compliance? (There is more than one answer)
- Every confirmed act of non-compliance may result in corrective action or discipline.
 - An employee cannot be terminated because of a violation of the Corporate Compliance Plan.
 - Any individual that knowingly commits or is involved in any type of non-compliance will be subject to disciplinary action up to and including termination
 - Any individual that knowingly commits or is involved in any type of non-compliance may be subject to legal action.
9. In some cases STIC is required by law to make a “self-disclosure” to an oversight agency if we become aware of a violation of the Corporate Compliance Plan
- True
 - False
10. Employees are to be trained on Corporate Compliance annually.
- True
 - False